

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09801495	
	Filing Date		2001-03-08	
	First Named Inventor	Christopher Keith		
	Art Unit	3693		
	Examiner Name	Richard C. Weisberger		
	Attorney Docket Number	IVEN125466		

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	1	The Options Institute, "Options: Essential Concepts & Trading Concepts," 3rd ed., McGraw-Hill, New York, 1999, p. 420.	<input type="checkbox"/>

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kevan L. Morgan/	Date (YYYY-MM-DD)	2006-12-21
Name/Print	Kevan L. Morgan	Registration Number	42015

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